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Feb-17-06 09:59 From:HOGAN & HARTSON

T-796 P.001/014 F-431

500 SOUTH GRAND AVENUE  
SUITE 1900  
LOS ANGELES, CA 90071

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FEB 17 2006

## IMPORTANT NOTICE TELECOPY/FACSIMILE COVER LETTER

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TO: Office of Initial Patent Examination's  
Filing Receipt Corrections

DATE: 2/17/2006

FROM: Reynaldo F. Gallardo

TIME: 9:55 AM

TOTAL NO. OF PAGES, INCLUDING COVER: 14

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### MESSAGE:

Please note the error on the attached Filing Receipt on the Applicant(s) Section. The third inventor's name 'TAKU MURASE, TOKYO, JAPAN" is missing in the original Filing Receipt.

Attached for your reference the documents that we filed on 10/19/05 re: Response to Notification of Missing Requirements.

Please provide us with the Corrected Filing Receipt as soon as possible.

Note: This supersedes the facsimile sent 02/16/06 (6:16 PM).

### FOR INTERNAL PURPOSES ONLY

TELECOPY/FAX NUMBER: 571-273-8300  
CLIENT NUMBER: 81864.0053  
ATTORNEY BILLING NUMBER: Reynaldo F. Gallardo  
CONFIRMATION NUMBER: 213-337-6701

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T-796 P.002/014 F-431



## UNITED STATES PATENT AND TRADEMARK OFFICE

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TDK Corp./DAN

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/526,427	10/21/2005	1755	2640	81864.0053	18	28	4

### CONFIRMATION NO. 3085

000026021  
HOGAN & HARTSON L.L.P.  
500 S. GRAND AVENUE  
SUITE 1900  
LOS ANGELES, CA 90071-2611

### FILING RECEIPT



\*OC000000017981522\*

Date Mailed: 02/03/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Kenya Takagawa, Tokyo, JAPAN;  
Eiichiro Fukuchi, Tokyo, JAPAN;  
\* Taku Murase, Tokyo, JAPAN \*

Power of Attorney: The patent practitioners associated with Customer Number 26021.

#### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/09735 07/21/2003

#### Foreign Applications

JAPAN 2002-280545 09/26/2002  
JAPAN 2002-382476 12/27/2002  
JAPAN 2003-195397 07/10/2003  
JAPAN 2003-195398 07/10/2003

Projected Publication Date: 05/11/2006

Non-Publication Request: No

Early Publication Request: No

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*d* FEB 15 2006  
DOCKETING

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T-796 P.003/014 F-431

**Title**

Ferrite material

**Preliminary Class**

252

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/526,427	Kenya Takagawa	81864.0053
INTERNATIONAL APPLICATION NO.		
PCT/JP03/09735		
I.A. FILING DATE	PRIORITY DATE	
07/21/2003	09/26/2002	
CONFIRMATION NO. 3085		
371 ACCEPTANCE LETTER		
*OC000000017961523*		

Date Mailed: 02/03/2006

## NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>10/21/2005</u>	<u>10/21/2005</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 02/28/2005
- English Translation of the IA filed on 02/28/2005
- Copy of the International Search Report filed on 02/28/2005
- Information Disclosure Statements filed on 02/28/2005
- Oath or Declaration filed on 10/21/2005
- Request for Immediate Examination filed on 02/28/2005
- U.S. Basic National Fees filed on 02/28/2005
- Priority Documents filed on 02/28/2005

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

---

ANITA D JOHNSON  
Telephone: (703) 308-9140 EXT 226

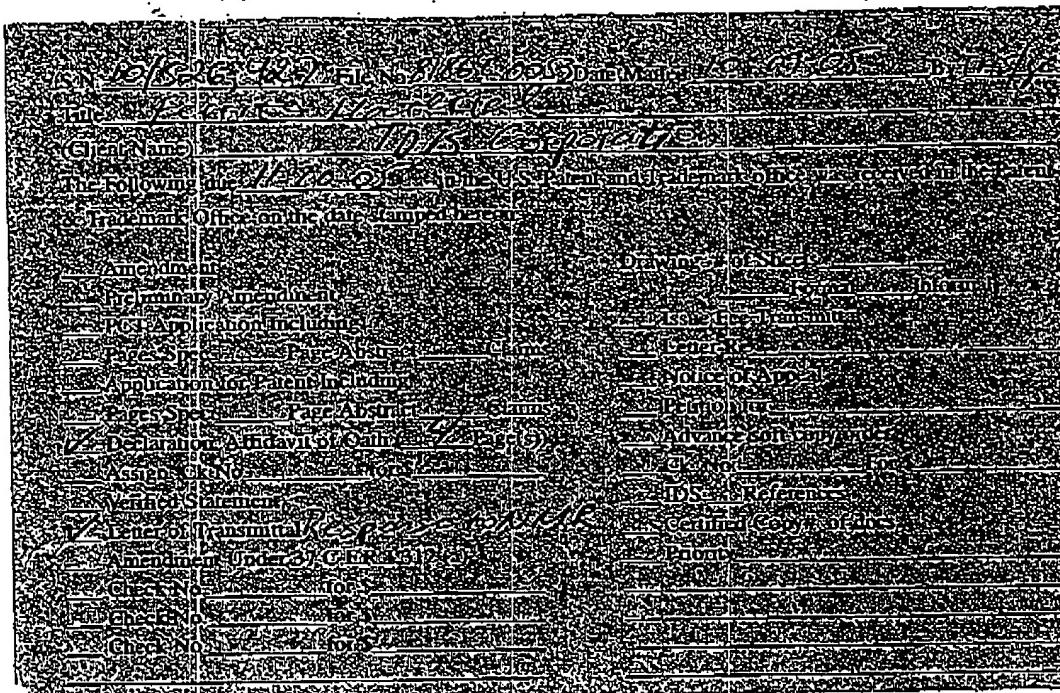
PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

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T-796 P.007/014 F-431



S.N. 10/526,427 File No. 81864-0053 Date Mailed 10-17-05 By: TMS/je

Title Ferrite Material

(Client Name) TDK Corporation

The Following due 11-20-05 in the U.S. Patent and Trademark office was received in the Patent & Trademark Office on the date stamped hereon:

**RECEIVED**

- Amendment
- Preliminary Amendment
- PCT Application Including
- Pages Spec. \_\_\_\_\_ Page Abstract \_\_\_\_\_ Claims \_\_\_\_\_
- Application for Patent Including
- Pages Spec. \_\_\_\_\_ Page Abstract \_\_\_\_\_ Claims \_\_\_\_\_
- Declaration, Affidavit of Oath (4 Page(s))
- Assign. Ck No. \_\_\_\_\_ for \$ \_\_\_\_\_
- Verified Statement
- Letter of Transmittal Response to NMR
- Amendment Under 37 C.F.R.1.312 (a)
- Check No. \_\_\_\_\_ for \$ \_\_\_\_\_
- Check No. \_\_\_\_\_ for \$ \_\_\_\_\_
- Check No. \_\_\_\_\_ for \$ \_\_\_\_\_

Drawing(s) of 34 dated 2005

Formal  Informal

**DOCKETING**

- Letter Re \_\_\_\_\_
- Notice of Appeal \_\_\_\_\_
- Petition for \_\_\_\_\_
- Advance soft copy order \_\_\_\_\_
- Ck. No. \_\_\_\_\_ For \$ \_\_\_\_\_
- IDS: \_\_\_\_\_ References \_\_\_\_\_
- Certified Copy# of docs \_\_\_\_\_
- Priority \_\_\_\_\_

JG03 Rec'd PCT/PTO 21 OCT 2005



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TDK Corp/ Dan

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/526,427	Kenya Takagawa	81864.0053

INTERNATIONAL APPLICATION NO.	
PCT/JP03/09735	

I.A. FILING DATE	PRIORITY DATE
07/21/2003	09/26/2002

CONFIRMATION NO. 3085  
371 FORMALITIES LETTER



\*OC000000017049386\*

000026021  
HOGAN & HARTSON L.L.P.  
500 S. GRAND AVENUE  
SUITE 1900  
LOS ANGELES, CA 90071-2611

THIS MATTER DOCKETED  
FOR 11-20-05

Date Mailed: 09/20/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/28/2005
- English Translation of the IA filed on 02/28/2005
- Copy of the International Search Report filed on 02/28/2005
- Information Disclosure Statements filed on 02/28/2005
- Request for Immediate Examination filed on 02/28/2005
- U.S. Basic National Fees filed on 02/28/2005
- Priority Documents filed on 02/28/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

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SEP 26 2005

PAGE 13/14 \* RCVD AT 2/17/2006 12:58:56 PM (Eastern Standard Time) \* SVR:USPTO-EFXRF-6/27 \* DNI:2738300 \* CSID: \* DURATION (mm:ss):04:56 DOCKETING

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## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/526,427	PCT/JP03/09735	81864.0053

FORM PCT/DO/EO/905 (371 Formalities Notice)

US-4044(99P04407)

## Declaration and Power of Attorney For Patent Application

## 特許出願宣言書

## Japanese Language Declaration

私は、下欄に氏名を記載した発明者として、以下の通り宣誓する：

私の住所、郵便の宛先および国籍は、下欄に氏名に統いて記載したとおりであり、

名称の発明に関し、請求の範囲に記載した特許を求める主題の本来の、最初にして唯一の発明者である(一人の氏名のみが下欄に記載されている場合)か、もしくは本来の、最初にして共同の発明者である(複数の氏名が下欄に記載されている場合)と信じ、

フェライト材料

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## FERRITE MATERIAL

## その明細書

(該当する方に印を付す)

 ここに添付する。 2005年2月28.

日に出願番号

第 10 / 526, 427

号として提出し、

日に補正した。

(該当する場合)

the specification of which

(check one)

 is attached hereto. was filed on February 28, 2005 AsApplication Serial No. 10 / 526, 427

and was amended on \_\_\_\_\_

(if applicable)

私は、前記のとおり補正した請求の範囲を含む前記明細書の内容を検討し、理解したことを陳述する。

私は、連邦規則法典37部第1章第56条(a)項に従い、本願の審査に所要の情報を開示すべき義務を有することを認めます。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

US-4044(99P04407)

**Japanese Language Declaration**

私は、合衆国法典第35部第119条にもとづく下記の外国特許出願または発明者証出願の外国優先権利益を主張し、さらに優先権の主張に係わる基礎出願の出願日前の出願日を有する外国特許出願または発明者証出願を以下に明記する：

I hereby claim foreign priority benefits under title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior foreign applications**

先の外国出願

<u>2002-280545</u>	<u>Japan</u>
(Number) (番号)	(Country) (国名)
<u>2002-382476</u>	<u>Japan</u>
(Number) (番号)	(Country) (国名)
<u>2003-195397</u>	<u>Japan</u>
(Number) (番号)	(Country) (国名)
<u>2003-195398</u>	<u>Japan</u>
(Number) (番号)	(Country) (国名)

<b>Priority claimed</b>	
優先権の主張	
<input checked="" type="checkbox"/>	<input type="checkbox"/>
Yes あり	No なし
<input checked="" type="checkbox"/>	<input type="checkbox"/>
Yes あり	No なし
<input checked="" type="checkbox"/>	<input type="checkbox"/>
Yes あり	No なし
<input checked="" type="checkbox"/>	<input type="checkbox"/>
Yes あり	No なし

私は、合衆国法典第35部第120条にもとづく下記の合衆国特許出願の利益を主張し、本願の請求の範囲各項に記載の主題が合衆国法典第35部第112条第1項に規定の程度で先の合衆国出願に開示されていない限度において、先の出願の出願日と本願の国内出願日またはPCT国際出願日の間に公表された連邦規則法典第37部第1章第566条(a)項に記載の所要の情報を開示すべき義務を有することを認める：

I hereby claim the benefit under title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulation, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.) (出願番号)	(Filing Date) (出願日)
------------------------------------	------------------------

(現状) (特許済み、係属中、放棄済み)	(Status) (Patented, pending, abandoned)
-------------------------	--

(Application Serial No.) (出願番号)	(Filing Date) (出願日)
------------------------------------	------------------------

(現状) (特許済み、係属中、放棄済み)	(Status) (Patented, pending, abandoned)
-------------------------	--

私は、ここに自己の知識にもとづいて行った陳述がすべて真実であり、自己の有する情報および信ずるところに従って行った陳述が真実であると信じ、さらに故意に虚偽の陳述等を行った場合、合衆国法典第18部第1001条により、罰金もしくは禁錮に処せられるか、またはこれらの刑が併科され、またかかる故意による虚偽の陳述が本願ないし本願に対して付与される特許の有効性を損なうことがあることを認識して、以上の陳述を行ったことを宣言する。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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T-796 P.011/014 F-431

US-4044(99P04407)

## Japanese Language Declaration

委任状：私は、本出願を審査する手続きを行い、且つ米国特許商標庁と全ての業務を遂行するために、記名された発明者として、カスタマーナンバー：[26021] に付随した弁護士及び/又は弁理士を任命する。

POWER OF ATTORNEY: As a named inventor, I hereby appoint the attorney(s) and/or patent agent(s) associated with the Customer Number: [26021] to prosecute this application and transact all business in the Patent and Trademark Office.

書類の送付先 :	Send Correspondence to:
	26021
直通電話連絡先 : (名称および電話番号)	Direct Telephone Calls to: (name and telephone number)

唯一のまたは第1の発明者の氏名 高川 建弥	Full name of sole or first inventor Kenya TAKAGAWA
同発明者の署名 高川 建弥 日付 2005, 3, 1	Inventor's signature Kenya Takagawa Date 2005, 3, 1
住所 日本 東京都 中央区	Residence Chuo-ku, Tokyo, Japan
国籍 日本	Citizenship Japan
郵便の宛先 日本国 東京都 中央区 日本橋一丁目 13番1号 TDK株式会社内	Post Office Address (C/O) TDK Corporation, 1-13-1, Nihonbashi, Chuo-ku, Tokyo 103-8272 Japan

第2の共同発明者の氏名(該当する場合) 福地 英一郎	Full name of second joint inventor, if any Eiichiro FUKUCHI
同第2発明者の署名 福地 英一郎 日付 2005, 3, 1	Second Inventor's signature Eiichiro Fukuchi Date 2005, 3, 1
住所 日本 東京都 中央区	Residence Chuo-ku, Tokyo, Japan
国籍 日本	Citizenship Japan
郵便の宛先 日本国 東京都 中央区 日本橋一丁目 13番1号 TDK株式会社内	Post Office Address (C/O) TDK Corporation, 1-13-1, Nihonbashi, Chuo-ku, Tokyo 103-8272 Japan

(第三またはそれ以降の共同発明者に対しても同様な情報および署名を提供すること)

(Supply similar information and signature for third and subsequent joint inventors)

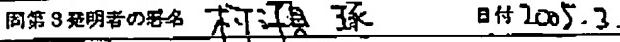
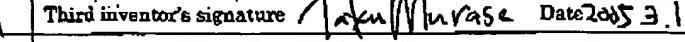
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US-4044(99P04407)

## Japanese Language Declaration

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